



PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/607,244	
	Filing Date	Jun 25, 2003	
	First Named Inventor	Olafsson, Sverrir	
	Art Unit	Unknown	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	66	Attorney Docket Number	00CON114P-CIP3C

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Two Copies of Publications 2. Form PTO-1449 3. Postcard
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Farshad Farjami, Esq., Reg. No. 41,014
Signature	
Date	February 2, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Type or printed	Lori Lapidario		
Signature		Date	February 2, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

**Complete if Known**

Application Number	10/607,244
Filing Date	6/25/2003
First Named Inventor	Olafsson
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	00CON114P-CIP3C

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit AccountDeposit  
Account  
Number

50-0731

Deposit  
Account  
Name

Farjami &amp; Farjami LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims		Fee from below		Fee Paid	
Total Claims		-20**=		X		=	
Independent Claims		-3**=		X		=	
Multiple Dependent						=	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	0.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$0.00)

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Farshad Farjami, Esq.	Registration No. (Attorney/Agent)	41014	Telephone	(949) 784-4600
Signature				Date	2/2/04

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

The Application of:	)	Group Art Unit: Unknown
	)	
Sverrir Olafsson	)	Examiner: Unknown
	)	
Serial No.: 10/607,244	)	
	)	
Filed: June 25, 2003	)	
	)	
For: Signaling Mechanism For Modem	)	
Connection Holding And Reconnecting	)	
	)	
	)	

**FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

In accordance with 37 C.F.R. 1.56 and 1.97, Applicant hereby discloses to the Patent Office patents, publications or other information of which Applicant is aware. A copy of a Form 1449 identifying the patents and other materials is submitted herewith.

The items identified in this Information Disclosure Statement may or may not be "material" as defined in 37 C.F.R. 1.56 and the submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to is material or considered to be material (37 C.F.R. 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. 102 with respect to the present invention unless specifically designated by Applicant as such. Identification of any reference or patent herein is not an admission, nor is it to be construed as an admission, that it was invented prior to the invention disclosed herein.

The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. 1.56, exists.

In accordance with 37 C.F.R. 1.97(b)(3) no fee is enclosed, since the Information Disclosure Statement is being filed before the mailing date of a first office action on the merits.

The Assistant Commissioner is hereby authorized to charge or credit Deposit Account Number 50-0731 for any deficiency or overpayment in the fees required for the filing of this Disclosure Statement, for which purpose a duplicate copy of this paper is also included.

Respectfully Submitted;  
Farjami & Farjami LLP

Dated: 2/2/04

By:   
Farshad Farjami  
Reg. No. 41,014  
Attorneys for Applicant

Farshad Farjami  
FARJAMI & FARJAMI LLP  
16148 Sand Canyon  
Irvine, CA 92618  
Tel: (949) 784-4600  
Fax: (949) 784-4601

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Date of Deposit: 2/2/04

Signature: Lori Lapidario

Lori Lapidario

Typed or Printed Name of Person Mailing Paper and/or Fee



<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 00CON114P-CIP3C	<b>SERIAL NO.</b> 10/607,244
	<b>APPLICANTS:</b> Sverrir Olafsson	
	<b>FILING DATE:</b> June 25, 2003	<b>GROUP ART:</b> Unknown

U.S. PATENT DOCUMENTS							
Exam. Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5,729,594	3/98	Klingman			
	AB	5,757,890A	5/98	Venkatakrisnan			
	AC	5,651,060 A	7/97	Holland, et al.			
	AD	6,574,239	6/03	Dowling, et al.			
	AE	6,314,170	11/01	Noguchi, et al.			
	AF	5,606,599	2/97	O'Mahony, et al.			
	AG	5,353,280	10/94	Ungerbock			
	AH	6,430,219	8/02	Zuranski, et al.			
	AI	6,345,071	2/02	Hamdi			
	AJ	5,764,278	6/98	Nagao			
	AK	4,995,074	2/91	Goldman, et al.			

FOREIGN PATENT DOCUMENT								
Exam. Initials		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATIO N	
							YES	NO
	BA	WO 99/48304 A	9/99	WIPO				
	BB	WO 99/27702 A	6/99	France				
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>								
	CA							

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
<b>EXAMINER:</b> Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	
Information Disclosure Statement – Section 9 PTO-1449	
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